

BE-10A BANK

OMB No. 0608-0049: Approval Expires 11/30/2002		BEA USE ONLY		Reporter ID Number		D									
FORM BE-10A BANK (Report for a U.S. Reporter that is a Bank) (REV. 6/99)															
U.S. DEPARTMENT OF COMMERCE BUREAU OF ECONOMIC ANALYSIS															
MANDATORY — CONFIDENTIAL															
BENCHMARK SURVEY OF U.S. DIRECT INVESTMENT ABROAD — 1999															
MAIL REPORTS TO: U.S. Department of Commerce Bureau of Economic Analysis BE-69(A) Washington, DC 20230 OR DELIVER REPORTS TO: U.S. Department of Commerce Bureau of Economic Analysis BE-69(A) Shipping and Receiving, Section M-100 1441 L Street, NW Washington, DC 20005		1. Name and address of U.S. Reporter													
IMPORTANT															
<p>Form BE-10A BANK is to be used only by a U.S. Reporter that is a bank, i.e., a business enterprise with over 50 percent of its total gross operating revenues generated by activities classified in International Surveys Industry code 5221. A U.S. reporter that is not a bank, but that has a bank foreign affiliate, must file Form BE-10A (Report for Nonbank U.S. Reporter) for itself and a Form BE-10B BANK for the bank foreign affiliate.</p> <p>Read the <i>Instruction Booklet</i>, which contains definitions and reporting requirements, before completing this form. The <i>Instruction Booklet</i>, Part I.C., has information for U.S. Reporters and foreign affiliates that are banks or bank holding companies. "Additional" Instructions specific to line items are provided at the back of this form.</p> <ul style="list-style-type: none">Who must report — Form BE-10A Bank must be filed by each U.S. person that is a bank and has a foreign affiliate reportable in this survey. Data on Form BE-10A pertain to the fully consolidated U.S. domestic business enterprise. DO NOT FULLY CONSOLIDATE OPERATIONS OF FOREIGN AFFILIATES ON FORM BE-10A BANK. Report data pertaining to the operations of nonbank foreign affiliates of U.S. Bank Reporters on Form BE-10B(SF). See <i>Instruction Booklet</i>, Part I, for detailed reporting requirements.U.S. Reporter's 1999 fiscal year — The U.S. Reporter's financial reporting year that has an ending date in calendar year 1999. See <i>Instruction Booklet</i>, Part II.A.Due date — A completed BE-10 report is due on the following dates: May 31, 2000 for a U.S. Reporter required to file fewer than 50 Forms BE-10B(LF), BE-10B(SF), and/or BE-10B BANK; June 30, 2000 for a U.S. Reporter required to file 50 or more Forms BE-10B(LF), BE-10B(SF), and/or BE-10B BANK. <table><tr><td>Bil.</td><td>Mil.</td><td>Thous.</td><td>Dols.</td></tr><tr><td></td><td>1</td><td>335</td><td></td></tr></table> <ul style="list-style-type: none">Currency amounts — Report in U.S. dollars rounded to thousands (omitting 000). Do not enter amounts in the shaded portions of each line. EXAMPLE – If amount is \$1,334,891.00, report as If an item is between + or – \$500.00, enter "0." Use parenthesis () to indicate negative numbers.Contact us for help — Telephone: 202–606–5566; FAX: 202–606–5312 or 5317; E-mail: be10/11@bea.doc.gov.								Bil.	Mil.	Thous.	Dols.		1	335	
Bil.	Mil.	Thous.	Dols.												
	1	335													
<p>Public reporting burden for this BE-10 report (comprising Form BE-10A or BE-10A BANK and Form(s) BE-10B(LF), BE-10B(SF) and/or BE-10B BANK) is estimated to vary from 14 to 8,500 hours per response, with an average of 130 hours per response. This burden includes time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate to Director, Bureau of Economic Analysis (BE-1), U.S. Department of Commerce, Washington, DC 20230; and to the Office of Management and Budget, Paperwork Reduction Project 0608-0049, Washington, DC 20503.</p>															
MANDATORY		This survey is being conducted under the International Investment and Trade in Services Survey Act (P.L. 94-472, 90 Stat. 2059, 22 U.S.C. 3101–3108, as amended – hereinafter "the Act"), and the filing of reports is mandatory under Section 5(b)(2) of the Act (22 U.S.C. 3104).													
CONFIDENTIALITY		The Act provides that your report to this Bureau is CONFIDENTIAL and may be used only for analytical or statistical purposes. Without your prior written permission, the information filed in your report CANNOT be presented in a manner that allows it to be individually identified. Your report CANNOT be used for purposes of taxation, investigation, or regulation. Copies retained in your files are immune from legal process.													
PENALTIES		<p>Whoever fails to report shall be subject to a civil penalty of not less than \$2,500, and not more that \$25,000, and to injunctive relief commanding such person to comply, or both. Whoever willfully fails to report shall be fined not more than \$10,000 and, if an individual, may be imprisoned for not more than one year, or both. Any officer, director, employee, or agent of any corporation who knowingly participates in such violations, upon conviction, may be punished by a like fine, imprisonment or both. (22 U.S.C. 3105)</p> <p>Notwithstanding any other provision of the law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.</p>													
PERSON TO CONSULT CONCERNING QUESTIONS ABOUT THIS REPORT				CERTIFICATION – The undersigned official certifies that this report (consisting of Form BE-10A BANK and Form(s) BE-10B(SF), and/or BE-10B(BANK)) has been prepared in accordance with the applicable instructions, is complete, and is substantially accurate except that, in accordance with Part IV.E. of the <i>Instruction Booklet</i> , estimates have been provided where data are not available from customary accounting records or precise data could not be obtained without undue burden.											
Name				Authorized official's signature											
Address				Date											
				Print or type name and title											
Telephone	Area code	Number	Extension	Telephone	Area code	Number	Extension								
FAX Number															
E-mail address				FAX Number											

Part I – IDENTIFICATION OF U.S. REPORTER

See **Additional Instructions** for Part I on page 7 at the back of this form.

2. Form of organization of U.S. Reporter — Mark (X) one.

1002


1

1

☐ Corporation

1

3

☐ Other — Specify 

3. If the U.S. Reporter is a corporation, is the corporation owned to the extent of more than 50% of its voting stock by another U.S. business enterprise?

1003

1

1

☐ Yes — Complete the "BE-10, CLAIM FOR NOT FILING." On the claim, mark (X) box number B.2. and enter the name and address of U.S. business enterprise with whose data your data will be consolidated in accordance with the definition of fully consolidated U.S. domestic business enterprise. (See **Instruction Booklet**, Part I.B.1.b.) Submit the claim to BEA and forward the remainder of the BE-10 survey packet to the U.S. business enterprise with whose data your data will be consolidated. If this cannot be done, please contact us for further instructions.

1

2

☐ No — Complete the remainder of this form.

4. Enter Employer Identification Number(s) used by U.S. Reporter to file income and payroll taxes. Show additional numbers on a separate sheet if necessary.

1004

1

2

5. The number of foreign affiliate reports (BE-10B(SF), and BE-10B (BANK)) that you are required to file.

1005

Number

1

If you also have exempt foreign affiliates, list them on the BE-10A BANK SUPPLEMENT A (page 5) and give the requested information for each. (Do not include foreign affiliates listed on the supplement in the number given here.)

6. The ending date of this U.S. Reporter’s 1999 fiscal year.

1006

Month	Day	Year
<div>1</div>	<div></div>	<div>1999</div>

7. Was there a change in the entity due to mergers, acquisitions, divestitures, etc., or a change in accounting principles during FY 1999 that caused FY 1998 data to be restated?

1007

1

1

☐ Yes

1

2

☐ No

8. If the U.S. Reporter is a corporation, are all U.S. domestic entities covered by the definition of fully consolidated U.S. Reporter (See **Instruction Booklet**, Part I.B.1.b.) included in this BE-10A report?

1008

1

1

☐ Yes

1

2

☐ No — PERMISSION MUST HAVE BEEN REQUESTED OF, AND GRANTED BY, BEA TO FILE ON AN UNCONSOLIDATED BASIS. You must file a separate Form BE-10A or BE-10A (BANK) for each unconsolidated U.S. domestic entity. Enter the name under which each separate Form BE-10A or BE-10A (BANK) is being filed.

Sales or gross operating revenues of fully consolidated domestic U.S. Reporter, by industry of sales or gross operating revenues. Enter in columns (1) and (2) respectively, the 4-digit ISI code(s) and the amount of sales or gross operating revenues associated with each code. For an inactive U.S. Reporter, enter a 4-digit ISI code based on its last active period. See the Guide to Industry and Foreign Trade Classifications for International Surveys for a full explanation of each code. See Additional Instructions for Part I, page 7, at the back of this form.					
		ISI code		Sales or gross operating revenues	
		(1)		(2)	
				Bil.	Mil.
				Thous.	Dols.
9. BANKING (depository institutions — ISI code 5221)		1015	1	2	
			5221	\$	
10. 2nd largest sales or gross operating revenues		1016	1	2	
11. 3rd largest sales or gross operating revenues		1017	1	2	
12. 4th largest sales or gross operating revenues		1018	1	2	
13. 5th largest sales or gross operating revenues		1019	1	2	
14. Sales or gross operating revenues not accounted for above.		1026		2	
15. TOTAL SALES OR GROSS OPERATING REVENUES — Sum of items 9 through 14		1027		2	
				\$	
16. BEA USE ONLY	1029	1	2	3	4
	1030	1	2	3	4

Part II – SELECTED FINANCIAL AND OPERATING DATA OF U.S. REPORTER
See **Additional Instructions** for Part II on page 7 at the back of this form.

					Amount				
					Bil.	Mil.	Thous.	Dols.	
• INCOME					1				
17. Total income					3041	\$			
18. Net income (loss) after provision for U.S. Federal, state, and local income taxes					3046				
• ASSETS					1				
19. Total assets at close of FY 1999					3366				
• NUMBER OF EMPLOYEES AND EMPLOYEE COMPENSATION									
<p>NUMBER OF EMPLOYEES — Employees on the payroll at the end of FY 1999, including part-time employees, but excluding temporary and contract employees not included on your payroll records. A count taken at some other date during the reporting period may be given provided it is a reasonable estimate of employees on the payroll at the end of FY 1999. If the number of employees at the end of FY 1999 (or when the count was taken) was unusually high or low due to temporary factors (e.g., a strike), enter the number of employees that reflects normal operations. If the number of employees fluctuates widely during the year due to seasonal business variations, report the average number of employees on the payroll during FY 1999. Base such an average on the number of employees on the payroll at the end of each pay period, month or quarter. If precise figures are not available, give your best estimate.</p> <p>EMPLOYEE COMPENSATION — Expenditures made by an employer in connection with the employment of workers, including cash payments, payments-in-kind, and employer expenditures for employee benefit plans including those mandated by government statute, such as the employer's share of Social Security taxes. Base compensation data on payroll records. Report compensation which relates to activities that occurred during the reporting period regardless of whether the activities were charged as an expense on the income statement, charged to inventories, or capitalized. DO NOT include data related to activities of a prior period, such as those capitalized or charged to inventories in prior periods.</p>									
					Number of employees				
					1				
20. TOTAL NUMBER OF EMPLOYEES — Report the total number of employees for the year.					3253				
21. TOTAL EMPLOYEE COMPENSATION — Report, for all employees, the sum of					Amount				
					Bil.	Mil.	Thous.	Dols.	
a. Wages and salaries — Employees' gross earnings (before payroll deductions), and direct and in-kind payments by the employer to employees; and					1				
b. Employee benefit plan — Employer expenditures for all employee benefit plans					3256	\$			
22. BEA USE ONLY	1	2	3	4	5				
3692									

Remarks

Remarks — Continued

FORM BE-10A BANK Supplement A (REV. 6/99)		U.S. DEPARTMENT OF COMMERCE BUREAU OF ECONOMIC ANALYSIS						BEA USE ONLY			Reporter ID Number						D										
LISTING OF FOREIGN AFFILIATES With Asset, Sales or Gross Operating Revenues, AND Net Income (loss) \$7 Million or Less												Name of U.S. Reporter															
Name of foreign affiliate (1)	Country of location (2)	Primary industry code ¹ (3)	Total assets			Total liabilities			Sales or gross operating revenues			Net income after foreign income tax			Number of employees (8)	Debt and intercompany balances between U.S. Reporter and foreign affiliate											
			(4)			(5)			(6)			(7)				Owed to U.S. Reporter by foreign affiliate (9)			Owed to foreign affiliate by U.S. Reporter (10)								
			Mil.	Thous.	Dols.	Mil.	Thous.	Dols.	Mil.	Thous.	Dols.	Mil.	Thous.	Dols.		Mil.	Thous.	Dols.	Mil.	Thous.	Dols.						
<div>1</div> <div>5011</div>	<div>2</div> <div>BEA USE ONLY</div>	3	4			5				6				7			8	9			10						
			U.S. Reporter's percentage of ownership ² _____									Direct (11) _____			11	. %	Indirect (12) _____			12	. %						
<div>1</div> <div>5012</div>	<div>2</div> <div>BEA USE ONLY</div>	3	4			5				6				7			8	9			10						
			U.S. Reporter's percentage of ownership ² _____									Direct (11) _____			11	. %	Indirect (12) _____			12	. %						
<div>1</div> <div>5013</div>	<div>2</div> <div>BEA USE ONLY</div>	3	4			5				6				7			8	9			10						
			U.S. Reporter's percentage of ownership ² _____									Direct (11) _____			11	. %	Indirect (12) _____			12	. %						
<div>1</div> <div>5014</div>	<div>2</div> <div>BEA USE ONLY</div>	3	4			5				6				7			8	9			10						
			U.S. Reporter's percentage of ownership ² _____									Direct (11) _____			11	. %	Indirect (12) _____			12	. %						
<div>1</div> <div>5015</div>	<div>2</div> <div>BEA USE ONLY</div>	3	4			5				6				7			8	9			10						
			U.S. Reporter's percentage of ownership ² _____									Direct (11) _____			11	. %	Indirect (12) _____			12	. %						
<div>1</div> <div>5016</div>	<div>2</div> <div>BEA USE ONLY</div>	3	4			5				6				7			8	9			10						
			U.S. Reporter's percentage of ownership ² _____									Direct (11) _____			11	. %	Indirect (12) _____			12	. %						
<div>1</div> <div>5017</div>	<div>2</div> <div>BEA USE ONLY</div>	3	4			5				6				7			8	9			10						
			U.S. Reporter's percentage of ownership ² _____									Direct (11) _____			11	. %	Indirect (12) _____			12	. %						
<div>1</div> <div>5018</div>	<div>2</div> <div>BEA USE ONLY</div>	3	4			5				6				7			8	9			10						
			U.S. Reporter's percentage of ownership ² _____									Direct (11) _____			11	. %	Indirect (12) _____			12	. %						
<div>1</div> <div>5019</div>	<div>2</div> <div>BEA USE ONLY</div>	3	4			5				6				7			8	9			10						
			U.S. Reporter's percentage of ownership ² _____									Direct (11) _____			11	. %	Indirect (12) _____			12	. %						
<div>¹ See Form BE-10B BANK pages 12 and 13 or Guide to Industry and Foreign Trade Classification for International Surveys, Part 1, for code: enter code which accounts for largest amount of sales or gross operating revenues, excluding sales taxes.</div> <div>²To calculate indirect ownership percentages — See Instruction Booklet, Part I.A.2. Use additional sheets, if necessary, to account for all affiliates.</div>																								Page No. _____ of _____ pages of this Supplement A			

BENCHMARK SURVEY OF U.S. DIRECT INVESTMENT ABROAD — 1999
FORM BE-10A BANK
ADDITIONAL INSTRUCTIONS BY LINE ITEM

Part I — IDENTIFICATION OF U.S. REPORTER

9.—15.

Sales or gross operating revenues of fully consolidated domestic U.S. Reporter by industry of sales or gross operating revenues.

If fewer than five ISI codes are used, account for total sales or gross operating revenues in items 9 through 13. Do not include realized gains or losses due to profit or loss on the sale or maturity of investments here. Instead, report them as part of item 17 (total income).

Part II — FINANCIAL AND OPERATING DATA OF U.S. REPORTER

17. Total income — include:

- a.** Sales or gross operating revenues.
- b.** Income from equity investments in affiliates (domestic and foreign). For affiliates owned 20 percent or more (including majority-owned foreign affiliates), report equity in earnings during the reporting period; for those owned less than 20 percent, report dividends.
- c.** Certain realized and unrealized gains (losses) net of income tax effects. Include realized gains or losses due to profit or loss on the sale or maturity of investments. Include unrealized holding gains and losses recognized during the period due to changes in the valuation of trading securities as defined by FASB 115. Include losses recognized during the period from other-than-temporary impairment of available-for-sale or held-to-maturity securities, as defined by FASB 115. Do not include unrealized holding gains and losses due to changes in the value of available-for-sale securities as defined by FASB 115.
- d.** Other income

21. Total employee compensation

Wages and salaries — Report gross earnings of all employees before deduction of employees' payroll withholding taxes, social insurance contributions, group insurance premiums, union dues, etc. Include time and piece rate payments, cost of living adjustments, overtime pay and shift differentials, bonuses, profit sharing amounts, and commissions. Exclude commissions paid to independent personnel who are not employees.

Include direct payments by employers for vacations, sick leave, severance (redundancy) pay, etc. Exclude payments made by, or on behalf of, benefit funds rather than by the employer.

Include in-kind payments, valued at their cost, that are clearly and primarily of benefit to the employees as consumers. Do not include expenditures that benefit employers as well as employees, such as expenditures for plant facilities, employee training programs, and reimbursement of business expenses.

Employee benefit plans — Report employer expenditures for all employee benefit plans, including those mandated by government statute, resulting from collective bargaining contracts, and those that are voluntary. Include Social Security and other retirement plans, life and disability insurance, guaranteed sick pay programs, workers' compensation insurance, medical insurance, family allowances, unemployment insurance, severance pay funds, etc. Also, include deferred postemployment and post retirement expenses per FASB 106. If plans are financed jointly by the employer and the employee, include only the contributions of the employer.